

PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 785.00

Complete if Known

Application Number 10/077,611

Filing Date February 15, 2002

First Named Inventor Anil Suri

Examiner Name

Group Art Unit

Attorney Docket No. 103753-203-NP

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 06-0923

Deposit Account Name Goodwin Procter LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$)	Code (\$)	Code (\$)	Code (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims - 20** = X =
Independent Claims - 3** = X =
Multiple Dependent =

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$ Code (\$ Code (\$ Code (\$

Code (\$)	Code (\$)	Code (\$)	Code (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid		
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	720.00
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 785.00

SUBMITTED BY

Name (Print/Type) Patrice A. King

Registration No. 44,833
(Attorney/Agent)

Complete (if applicable)

Telephone 973-992-1990

Signature

Date September 12, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



CERTIFICATE OF MAILING

#4

I hereby certify that the attached correspondence and/or fee are being deposited with the United States Postal Service as First Class Mail under 37 C.F.R. 1.8 on the date indicated above and is addressed to:
Attention: Asst. Commissioner for Patents, Washington, DC 20231.

Sept 12, 2002
Date of Deposit

Lois Maglione
Lois Maglione

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant: Anil Suri :
Serial No.: 10/077,611 :
Filed: February 15, 2002 :
Title: METHOD AND SYSTEM FOR THE :
MANAGEMENT OF STRUCTURED :
COMMODITY TRANSACTIONS AND :
TRADING OF RELATED FINANCIAL :
PRODUCTS :
Docket No: 103753-203-NP :
-----X

09/18/2002 SSESHE1 00000038 060923 10077611
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PETITION FOR EXTENSION OF TIME

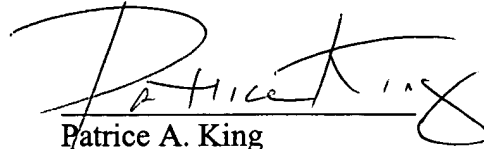
Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

The undersigned attorney petitions the Assistant Commissioner for Patents to extend the time for filing a reply to the Notice to File Missing Parts of Application of March 12, 2002 for four months from June 12, 2002 to September 12, 2002. The extension fee of

\$720.00 and any deficiency in fees associated with this petition may be charged to
Goodwin Procter LLP Deposit Account 06-0923.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Patrice A. King", with a large, stylized flourish at the end.

Patrice A. King
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